Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REIS	SSUE APPLICATION DECLARATION BY THE INVENTOR	Docket Number (Optional) 1774 - RE			
I hereby declare that: Each inventor's residence, mailing address and citizenship are stated below next to their name. I believe the inventors named below to be the original and first inventor(s) of the subject matter which is described and claimed in patent number 6,559,236 B1 granted May 06, 2003 and for which a reissue patent is sought on the invention entitled METHOD FOR PRODUCING AQUEOUS DISPERSIONS OF, (CO) POLYMERS, DISPERSIONS OBTAINED USING SAID METHOD, REDISPERSIBLE POWDERS					
the s	pecification of which	,			
	is attached hereto.				
	was filed on as reissue application number	per			
	and was amended on (If applicable)				
ame	re reviewed and understand the contents of the above-identified specificandment referred to above. nowledge the duty to disclose information which is material to patentabil				
□ X1	I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or equivalent) listing the foreign applications.	or 365(b). Attached is form PTO/SB/2B (or			
	ly believe the original patent to be wholly or partly inoperative or invalid, w. (Check all boxes that apply.)	for the reasons described			
	by reason of a defective specification or drawing.				
凶	by reason of the patentee claiming more or less than he had the right to	claim in the patent.			
	by reason of other errors.				
At least one error upon which reissue is based is described below. If the reissue is a broadening reissue, such must be stated with an explanation as to the nature of the broadening:					
-					

[Page 1 of 2]
This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/51 (06-03)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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(DEISSUE ADDI ICATION DECLADATION BY THE INVENTOR page 2)				21				(Optional)
(REISSUE APPLICATION DECLARATION BY THE INVENTOR, page 2) 1774-RE								
All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith.								
Name(s) Ellen T.Dec Charles W. A Cynthia L. F	llmer oulke	Registration Numb 20,863 30,731 32,364	Jar Dav	id P.	ennaro Letroy Kaiser		34, 37, 33,	884 869 506
Correspondence Addre	ess: Direct all commu	inications about the	applicat	ion to:				
Customer Number	r:							
OR								
X Firm or Individual Name	Jane E. Gen	naro						
Address	National St	arch and Chem	nical	Compan	у			
Address	10 Finderne	Avenue						
City	Bridgewater	1		State	NJ		Zip	08807
Country	USA							!
Telephone	908-685-520	بر د		Fax	907-70	7-3706		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.								
Full name of sole or fir	st inventor (given nar	me, family name)	Hongl	i Will	imann			
Inventor's signature \(\(\sigma_{\sigma} \) \(\sigma_{\sigma} \								
Residence Switzenland			Citizenship Swiss					
Merenschwand, Switzerland Mailing Address			1 3W1	33				
Burgstrasse 2, CH-5634 Merenschwand, Switzerland								
Full name of second joint inventor (given name, family name) Robert Koelliker								
Inventor's signature			Date Sept 1, 03					
Residence Oberkirch, Switzerland			Citizenship Swiss					
Mailing Address Untarkefetrasse 14 CH_6208 Oberkinch Switzerland								
Unterhofstrasse 14, CH-6208 Oberkirch, Switzerland Full name of third joint inventor (given name, family name)								
Inventor's signature Date								
			Citizenship					
Mailing Address								
Additional joint inventors or legal representative(s) are named on separately numbered sheets forms PTO/SB/02A or 02LR attached hereto.								

PTO/SB/02B (08-03)

Approved for use through 08/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION – Supplemental Priority Data Sheet

Foreign applications:					
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO	
PCT/EP99/05206	PCT	07/21/99	X	X	
198 33 066.9	Germany	07/22/98			
		,			
		·			

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/52 (07-03)
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	Docket Number (optional)
REISSUE APPLICATION DECLARATION BY THE ASSIGNEE	1774-RE
I hereby declare that:	
The residence, mailing address and citizenship of the inventors are stated	below.
	tarch and Chemical Investment
Holding Co and the title of my position with said assignee is: Secretary	rporation
The entire title to the patent identified below is vested in said assignee.	
Investo51: Willimann	Citizenship Swiss
Residence/Mailing Address Burgstrasse 2. CH-5634 Merenschwand, Switzerlan	d
	Citizenship
RAKKKXXXXXXXXX Robert Koelliker Residence/Mailing Address	Swiss
Unterhofstrasse 14, CH-6208 Oberkirch, Switzerl Additional Inventors are named on separately numbered sheets a	and
Patent Number	-441
6,559,366 B1	May 06, 2003
Title of Invention METHOD FOR PRODUCING AQUEOUS DISPER	
OBTAINED USING SAID METHOD, REDISPE OBTAINED FROM SAID INSPERSIONS AND Delieve said inventors) of the Subjection	
patent, for which a reissue patent is sought on the invention entitled: METF (CO) POLYMERS, DISPERSIONS OBTAINED USING SALE WHITCH CAN BE OBTAINED FROM SAID DISPERSIONS AND the specification of which	HODFOR PRODUCING AQUEOUS DISPERSION METHOD, REDISPERSIBLE POWDERS
is attached hereto.	
was filed on as reissue a	application number/_
	,,,
and was amended on(If applicable)	- .
have reviewed and understand the contents of the above identified specifiamendment referred to above.	ication, including the claims, as amended by any
acknowledge the duty to disclose information which is material to patenta	bility as defined in 37 CFR 1.56.
1 hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (or equivalent) listing the foreign applications.	(f), or 365(b). Attached is form PTO/SB/02B
verily believe the original patent to be wholly or partly inoperative or invalicelow. (Check all boxes that apply.)	d, for the reasons described
by reason of a defective specification or drawing.	
by reason of the patentee claiming more or less than he had the righ	t to claim in the patent.
by reason of other errors.	

[Page 1 of 2]

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PTO/SB/52 (07-03)
Approved for use through 01/31/2004. OMB 0651-0033
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REISSUE APPLICATION DECLA	Docket Number (Optional)				
At least one error upon which reissue is base					
•					-
	[Attach additional sheet	s, if needed	d.]		
All errors corrected in this reissue application	on arose without any dece	antive intent	tion on the part of the a	nnlicar	nt
I hereby appoint:	on alose without any dece	spave intern	norrorrate part or ate a	ррпосл	Τ.
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OR					
r X 1					
Practitioner(s) named below:					
Name			Registration Number	ber	
Jane E. Gennaro	34	+,884			
as my/our attorney(s) or agent(s) to prosec	cute the application identif	ied above,	and to transact all busir	ness in	the United
States Patent and Trademark Office conne	ected therewith.				
Correspondence Address: Direct all comm	unications about the anni	ication to:			
Y35157	diffications about the appli	ication to.			
Customer Number:	·				
OR					
Firm or Jane E.					
^ Name National	Starch and Chem	ical Cor	mpany.		
Address	rne Avenue				
Address					
City		State NJ		Zip	08807
Bridgewa	iter	NJ			00007
Country					
Telephone 908-685-	5205	Fax	908-707-3706		
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information					
and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful					
false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this					
declaration is directed. Full name of person signing (given name, family name)					
Rarbara S. Curran, Secretary					
Signatur paland	Signatur Date SEPTEMBER 2, 2003				2003
Address of Assignee Boulevard, New Castle, Delaware 19720					

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMR control number

DECLARATION – Supplemental Priority Data Sheet

Foreign applications:				
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
PCT/EP99/05206	PCT	07/21/99	X	x
198 33 066.9	Germany	07/22/98		
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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JUNE 06, 2001

PTAS

NATIONAL STARCH AND CHEMICAL THOMAS F. ROLAND 10 FINDERNE AVENUE BRIDGEWATER, NEW JERSEY 08807-0500



101668325A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY. SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/19/2001

REEL/FRAME: 011634/0342

NUMBER OF PAGES: 3

BRIEF: MORTGAGE (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILLIMANN, HONGLI

DOC DATE: 03/06/2001

ASSIGNOR:

KOELLIKER, ROBERT

DOC DATE: 03/06/2001

ASSIGNEE:

NATIONAL STARCH AND CHEMICAL INVESTMENT HOLDING CORPORATION CONCORD PLAZA-TALLEY 2 3411 SILVERSIDE ROAD WILMINGTON, DELAWARE 19803-8340

SERIAL NUMBER: 09744089

FILING DATE: ISSUE DATE:

PATENT NUMBER:

011634/0342 PAGE 2

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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- 1	1-31-92	HEET [] []		
		And the state of t		
ļ	1016683			
ŀ	To the Honorable Commissioner of Patents and Trade	emarks. Please record the attached original		
	documents or copy thereof.	CALL COLOR		
>	1. Name of conveying party(ies):	2. Name and address of receiving party(ies):		
1/	Hongli Willimann			
	Robert Koelliker	Name: National Starch and Chemical Investment		
5		Holding Corporation		
\		Internal Address:		
1	Additional names(s) of conveying party(ies	internal Address.		
\backslash	attached? □Yes ☑ No			
, ,	3. Nature of Conveyance:	Street Address: <u>Concord Plaza-Talley 2,</u>		
[5. Nature of Conveyance.	3411 Silverside Road		
	⊠Assignment □Merger	City: Wilmington State: Delaware ZIP: 19803-8340		
	☐Security Agreement ☐Change of Name	Side Attentional Control Solution Control Control		
i	□Other			
j		Additional name(s) & address(es) attached?		
}	Signature Execution Date(s): March 6, 2001	□Yes ⊠No		
1	4. Application number(s) or patent number(s):			
	If this document is being filed with a new application			
1	the execution date of the applica	ation is:		
1		5 5		
	A. Patent Application No.(s)	B. Patent No.(s)		
	09/744,089 Filed 01/19/01			
ĺ	00/144,000 1 fied 01/15/01			
	Additional numbers attached? □Yes ⊠No 5. Name and address of party to whom 6. Total number of applications and patents			
	correspondence concerning documents should be involved:			
	mailed:			
		1		
	Name: Thomas F. Roland			
	Internal Address: National Starch and Chemical	7. Total Fee (37 CFR 3,41) \$_40.00		
		DEngland		
	Street Address: 10 Finderne Avenue	□Enclosed ☑Authorized to be charged to deposit		
	Su eet Address. <u>To Finderne Avertue</u>	account		
	City: <u>Bridgewater</u> State: <u>New Jersey</u>	8. Deposit Account Number:		
	ZIP: <u>08807-0500</u>	o. Deposit Account Number.		
		No. 14-0455		
	DO NOT USE THIS SPACE			
	9. Statement and Signature			
	To the best of my knowledge and belief, the foregoing information is true and correct and any attached			
	copy is a true copy of the original document.			
	~ 1 .			
	Thomas F. Roland, Reg. No.42,110	March 15, 2001		
	Name of Boroom Simples	Dete		
	Name of Person Signing Signatur	Date		
	Total number of pages including cover sheet, attachments, and document: 3			

}



Elotex AG, Industriestrasse 17a, CH-6203 Sempach Station, Telefon (+41) 41 469 69 69, Telefax (+41) 41 469 69 00

Patent #1774

ASSIGNMENT

WHEREAS, WE, Hongli Willimann and Robert Koelliker, citizens of Switzerland, residing at Burgstrasse 2, 5634 Merenschwand, Switzerland

Unterhofstrasse 14, CH-6208 Oberkirch, Switzerland

have invented certain novel and useful improvements in:

METHOD FOR PRODUCING AQUEOUS DISPERSIONS OF (CO)POLYMERS, DISPERSIONS
OBTAINED USING SAID METHOD, REDISPERSIBLE POWDERS WHICH CAN BE OBTAINED
FROM SAID DISPERSIONS AND USE

for which application for Letters Patent of the United States was made on January 19, 2001 as Serial No. 09/744089 and of which we are the true inventors; and

WHEREAS, National Starch and Chemical Investment Holding Corporation (a corporation of the State of Delaware), P. O. Box 7663, Wilmington, Delaware 19803-7663, is desirous of acquiring the entire right, title and interest in and to said invention, application and Letters Patent to be obtained therefore in the United States, and in all foreign countries;

NOW, THEREFORE, WE, Hongli Willimann and Robert Koelliker, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, has sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto said National Starch and Chemical Investment Holding Corporation, its successors and assigns, the entire and exclusive right, title and interest in and to said invention described in the specification executed by us on even date herewith, preparatory to obtaining Letters Patent of the United States therefor, and to said application, Letters Patent to be obtained for said invention, and any and all reissues, divisions, extensions or continuations thereof, and to any Letters Patent which may be applied for or granted for said invention in foreign countries, and the entire and



exclusive right to have and claims the priority in all foreign countries pursuant to the provisions of the International Convention for the Protection of Industrial Property, based on said application including all rights to any accrued damages arising out of infringement during the time preceding and following grant of the Letters Patent and execution or recording of this assignment; and

WE HEREBY REQUEST the Commissioner of Patents and Trademarks to issue said Letters

Patent to said National Starch and Chemical Investment Holding Corporation, as the assignee of my

interest for the sole use of said assignee and its successors and assigns; and

WE HEREBY AGREE to execute any further papers necessary to secure said assignee in its full and complete enjoyment of its right to said invention, application, Letters Patent, reissues, divisions, extensions and continuations.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 6 day of 700001.

Respectfully submitted,

Date: 06/03/01

Hongli Willimann

IN TESTIMONY WHEREOF, I have hereunto set my hand this ____ day of _____ 2001.

Respectfully submitted,

Date: 6. 3. 01

Robert Koelliker

County of Lacara | SS:

State of farfular | SS:

State of farfular | SS:

State of farfular | SS:

Where the foregoing Assignment, and he duly acknowledged to me that he executed the same of his own free will and for the purposes therein set forth.

In the farfular is the farfular of the purposes therein set forth.

- 2 .

Ord. A. 2000/mgs

REISSUE PATENT APPLICATION

Attorney Docket No. 1774-RE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: WILLIMANN, Hongli et al.

SERIAL NO.: 09/744,089 **GROUP ART UNIT:** 1711

FILED: 11 May 2001 EXAMINER: Asinovsky, Olga NWN

ENTITLED: METHOD FOR PRODUCING AQUEOUS DISPERSIONS OF

(CO)POLYMERS, DISPERSIONS OBTAINED USING SAID METHOD, REDISPERSIBLE POWDERS WHICH CAN BE OBTAINED FROM SAID DISPERSIONS AND USE THEREOF

Mail Stop Reissue Commissioner for Patents Post Office Box 1450 Alexandria, Virginia 22313-1450

ASSIGNEE'S CONSENT AND STATEMENT ESTABLISHING OWNERSHIP

Dear Sir:

National Starch and Chemical Investment Holding Corporation, assignee of U.S. Patent No. 6,559,236 B1 consents to the filing of Reissue Application No. 09/744,089 for the reissue of U.S. Patent No. 6,559,236 B1.

Documentary evidence of chain of title from the original inventors to the present assignee is recorded in the assignment records of the Office at reel 011634, frame 0342. A physical copy of such assignment evidence is enclosed herewith.

As signatory below, I hereby state that I am authorized to act on behalf of National Starch and Chemical Investment Holding Corporation.

Dated: SEPTEMBER 2, 2003

NATIONAL STARCH AND CHEMICAL INVESTMENT HOLDING CORPORATION 1000 Uniqema Boulevard New Castle, Delaware 19720 Barbara S. Curran, Secretary

Balanell.